

EIT RawMaterials

Call for KAVA 6 Knowledge Creation and Dissemination

Instructions and process description

April 2019

Innovation is the main objective of EIT and EIT RawMaterials is committed to supporting activities that will improve innovation capacity across its community. The primary goal of this call is to generate and collate useful and valuable intelligence, data and information on framework conditions to boost innovation in the three Lighthouses identified by EIT RawMaterials. The expected deliverables of this call are reports that will identify main conditions, including barriers, to support innovation and to identify current gaps in our strategy to further define specific topics in our future KAVA calls.

By introducing this call, we embrace the practice established by other KICs, whereby reports can also be published, both within the community and to the general public, thus increasing the KIC's visibility and impact.

This document describes the process for preparation, submission, evaluation and selection of proposals in response to the 2019 Knowledge Creation and Dissemination call (hereafter 'KAVA 6 Knowledge Call') launched by EIT RawMaterials.

Proposals can be submitted only using the EIT RawMaterials IT platform 'SeedBook'.

The maximum amount of funding awarded to each approved project is different for each of the six eligible topics as listed in Appendix 1. The total amount of EIT funding to be distributed in this call will not exceed € 500 000, with funding for each project in the 60 000-90 000 € range. Only a maximum of ONE project will be funded for each topic.

1. Eligibility criteria – who can apply

All existing Partners of EIT RawMaterials are eligible to apply. There are no restrictions on the number, type and geographic distribution of the entities forming the consortia. The Coordinator acts on behalf of all entities in the Consortium, and will be the only point of contact with EIT RawMaterials.

The specific topics eligible for funding are listed in Appendix 1. Prospective applicants must consult the EIT RawMaterials staff listed in Appendix 1 prior to the submission of their proposal. The assessment of eligibility in terms of topic is to the sole discretion of EIT RawMaterials.

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2. General rules and guiding principles

As a general rule, this call is designed to generate information and intelligence that will assist EIT RawMaterials with the implementation of its Lighthouses. For information on the EIT RawMaterials Lighthouses, prospective applicants should consult the documents (also available from our website):

- ‘Strategic Agenda 2018-22 of EIT RawMaterials’ (FPA, Annex I);
- ‘Lighthouse Appendix’ that outlines the topics and criteria for application through the Lighthouse initiative.

3. Specific rules on funding

Funding will be provided to cover the costs of activities necessary to generate the deliverables. Although it is expected that much of the funding will be used to cover personnel costs, other cost categories can be covered. As a general rule, cost eligibility, documentation and reporting is subject to the same guidelines and conditions applied by EIT and EIT RawMaterials in the ‘standard’ KAVA projects. These include provisions for the selection and appointment of sub-contractors. Prospective applicants are expected to familiarize themselves with these guidelines and conditions prior to applying. Within these rules, the decision to fund specific costs will be to the sole discretion of EIT RawMaterials.

Note that, unlike for the ‘standard’ KAVA projects:

1. There is no co-funding requirement.
2. There is no requirement to contribute KCAs (KIC Added Value Activities).
3. EIT RawMaterials intends to take an active role as project participant actively contributing to work packages and being assigned a part of the funding; details to be agreed with the winning consortium.
4. The Consortia must provide a list of their projects/activities related to the topic carried out during the last 6 years. For each project/activity, the list must include: title, name of coordinating institution and coordinator, duration, short description (300-500 words), funding institution.
5. The following cost categories do not apply:
 - a) Cost of large research infrastructure
 - b) Equipment and infrastructure depreciation
 - c) Prizes
 - d) Scholarships
 - e) Sub-granting (‘Task Partners’)
 - f) Unit costs EIT labelled Master programmes (AVSA)
 - g) Unit costs EIT labelled PhD programmes (AVSA)

4. Other conditions and information

1. Pre-financing from EIT RawMaterials will be distributed according to the same rules and with the same timeline applicable to BP2019 projects.

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2. Project Agreements (PA) will be issued after the evaluation process has been completed.
3. All the funds awarded in this call must be fully expended by 31 December 2019.
4. All activities supported in this call must be fully completed by 31 December 2019.
5. Projects selected in this KAVA 6 Knowledge Call need to follow the regular EIT RawMaterials Business Plan reporting cycle and rules.
6. Each proposal must have at least four deliverables and one output. These must be described in detail in the proposal. The four deliverables are:
 - a) the final report, which will be used both internally (e.g., to define possible specific calls in the future) and externally to promote the KIC's activities and showcase its innovation capacity and achievements;
 - b) a PowerPoint presentation (maximum 10 slides) with the scope and main outcomes of the project;
 - c) a two-page project description for inclusion in the EIT RawMaterials website and for EIT reporting; and
 - d) data gathered and generated during the project in Excel format with corresponding graphics illustrating the main outcomes.
7. The proposal must include a kick-off meeting with EIT RawMaterials staff and follow-up meetings every two months organized by the PC and attended by the relevant SA.

5. Selection criteria

Proposals will be evaluated by EIT RawMaterials staff using the criteria listed below. Based on the evaluations and the overall available funding, the EIT RawMaterials Management Team (OMT) will rank the proposals and select the project portfolio. The total maximum score for a project is 15 (3 criteria, each with a maximum score of 5).

In addition to the eligibility criteria listed in Section 1 (note Appendix 1 for eligible topics), the following selection criteria will apply:

Maximum score	Description of criterion
5	<p>1. Strategic importance for the KIC, including but not limited to:</p> <ul style="list-style-type: none"> • Overall rationale for the project's strategic importance to the KIC • Economic importance of the targeted theme/market • Contribution to an EIT RawMaterials portfolio that is in line with its strategic objectives
5	<p>2. Compliance with EIT RawMaterials feedback and budget allocation</p> <ul style="list-style-type: none"> • Overall level of consultation with KIC staff during the preparation of the proposal

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	<ul style="list-style-type: none"> • Overall compliance with feedback given by KIC staff during the preparation of the proposal • Details of how the funds are to be used: clear budget plan and timeline with justification • Clear and detailed definition and description of outputs and deliverables
5	<p>3. Quality and impact</p> <ul style="list-style-type: none"> • Definition of aims, objectives and deliverables and their relevance to EIT RawMaterials • Clear and detailed description of the project structure including WPs and Management strategy • Demonstration of the project's added value: realistic assessment of the expected contribution that the project will make to the impact of the KIC in relation to the requested budget • Contribution to the KIC's KPIs (if any; this is not mandatory) • Contribution to the KIC's Lighthouses (mandatory)

Evaluation scale: In relation to each of the criteria above, the score ranges from 0 to 5 according to the following scale:

0	Non-existent: no relevant information provided in the application file or cannot be judged because out of range/scope
1	Very poor: The criterion is addressed in a very incomplete and unsatisfactory manner
2	Poor: There are serious inherent weaknesses in relation to the criterion in question
3	Fair: The criterion is somewhat addressed, but there are significant weaknesses
4	Good: The proposal addresses the criterion well, although some improvements are possible
5	Excellent: The proposal successfully addresses all relevant aspects of the criterion in question. Any shortcomings are minor only.

Coordinators of projects are selected for funding will be informed by the Innovation Director after approval by the OMT. Project Coordinators will be responsible for making all changes and updates in BlueBook. Feedback on the proposals will be verbal only and will be given by the Innovation Director.

6. Confidentiality

Access to the project proposals will be given to EIT RawMaterials Innovation Hubs and Management Team for evaluation, management and reporting reasons. If a project is granted, a summary of the project proposal (deliverable c) – see Section 4) will be communicated to the EIT as part of the proposed Amended Business Plan 2019, where it will be included in EIT's evaluation of the Business Plan. The title, scope and overall

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budget of the project will be shared within the EIT RawMaterials community and will be made publicly available (e.g., on the EIT RawMaterials' web site, in presentations at conferences etc.).

7. Intellectual Property provisions

Ownership of the Results generated under the Project, including any copy or other intellectual property rights attached thereto ("IP Rights"), shall be co-owned by the KIC LE and the other Project Participants ("Parties") with the following understanding:

- Each Party shall be free to use, disseminate, extract and modify the Results for non-commercial purposes of the KIC, itself and its affiliates.
- Future commercial use shall be possible and aimed at a collaborative basis of all Parties interested therein.
- The transfer of rights in the Results to third parties shall require the consent of the other Parties.

The Project Participants shall make sure they do not infringe IP Rights of third parties in producing the Results. Further details shall be set forth in the Project Agreement. The Umbrella Documents (Framework Partnership Agreement, Specific Grant Agreement, Internal Agreement, IP Policy) apply.

8. Proposal submission

Proposals must be submitted no later than Friday 10 May 2019 at 13.00 CET via SeedBook. Evaluation will commence immediately after submission, and the outcomes of the evaluation will be communicated on or before Monday 10 June 2019.

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